REQUEST FOR EXCEPTION
TO SERVE AS PRINCIPAL OR CO-PRINCIPAL INVESTIGATOR
(Form 99)

Requests for exceptions to serve as principal or co-principal investigator are issued pursuant to Research Circular B.1.
To determine if an individual is eligible to serve as principle investigator, see Section III.A.
To review the role and responsibilities of principal investigators, please see Section II.C.1
To review the role and responsibilities of sponsoring investigators, please see Section II.C.3

Exception for:

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<th>Name</th>
<th>Signature*</th>
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<th>Current Payroll Title</th>
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*I understand that approval of this exception does not imply that the University will extend my current appointment period, nor does it obligate the University to do so.

Agency: ____________________________ Co-PI (if any): ____________________________

Project Title: ____________________________

Administering Department/ORU: ____________________________

1. State why it is necessary for the individual seeking this exception to serve as principal investigator on this project or program.
2. Summarize the individual's qualifications to undertake this project, including their prior history of serving as a Principal Investigator or Co-Principal Investigator on grants or contracts awarded by extramural sponsors, and the extent of their experience and knowledge of UCSB policies and procedures related to research.

**Approvals:**

Lead Co-PI (if any):
Name: ____________________   Signature: ____________________

Department Chair/ORU Director
Name: ____________________   Signature: ____________________

Vice Chancellor for Research or Designee: ____________________   Date: ______________

Sponsoring Investigator Required? Yes: __________   No: __________

If yes,  
Name: ____________________   Signature: ____________________

All Sponsoring Investigators should carefully review Research Circular B.1. to understand their role and responsibilities.